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## IN THE U.S. PATENT AND TRADEMARK OFFICE

U.S. Patent Application S.N. 09/875,529

Title: METHOD AND SYSTEM FOR TRANSMITTING, RECEIVING, AND  
COLLECTING INFORMATION RELATED TO A PLURALITY OF  
WORKING COMPONENTS

Inventor(s): Janusz et al.

Filed: 06/06/01

Group Art Unit: 2681

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington D.C.

20231, on August 28, 2001  
Name Memorle Stofferahn  
Signature Memorle Stofferahn

### RESPONSE TO NOTICE OF MISSING PARTS

**RECEIVED**

Assistant Commissioner of Patents  
Washington, D.C. 20231

**OCT 15 2001**

Sir:

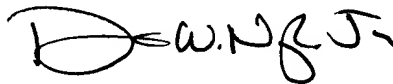
**OFFICE OF PETITIONS  
DEPUTY A/C PATENTS**

In response to the NOTICE OF MISSING PARTS dated August 3, 2001, attached is A  
DECLARATION IN SUPPORT OF PATENT APPLICATION BEING FILED WITHOUT THE  
SIGNATURE OF ONE OF THE INVENTORS UNDER 37 CFR 1.47. As detailed therein, the  
remaining inventors and the assignee of all right, title and interest in and to the present patent

application have been unable to secure the cooperation of one of the four named inventors in executing the requisite declaration. Therefore, the remaining inventors and the assignee of all right, title and interest in and to the patent application are proceeding pursuant to 37 CFR § 1.47 and MPEP § 409.03(a).

A check in the amount of \$65 for payment of the surcharge is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "D. W. Nagle, Jr.", with a stylized flourish at the end.

David W. Nagle, Jr.  
Reg. No. 42,923  
**STITES & HARBISON, PLLC**  
400 W. Market Street  
Louisville, Kentucky 40202-3352  
Phone (502) 587-3400  
Facsimile (502) 587-6391



#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 65.00

## Complete if Known

|                      |               |
|----------------------|---------------|
| Application Number   | 09/875,529    |
| Filing Date          | June 6, 2001  |
| First Named Inventor | Janusz et al. |
| Examiner Name        |               |
| Group Art Unit       | 2681          |
| Attorney Docket No.  | RF000/000RF-U |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid             |
|-----|----------------------------|----------------------------|------------------------|----------------------|
| 101 | 710                        | 201 355                    | Utility filing fee     | <input type="text"/> |
| 106 | 320                        | 206 160                    | Design filing fee      | <input type="text"/> |
| 107 | 490                        | 207 245                    | Plant filing fee       | <input type="text"/> |
| 108 | 710                        | 208 355                    | Reissue filing fee     | <input type="text"/> |
| 114 | 150                        | 214 75                     | Provisional filing fee | <input type="text"/> |

SUBTOTAL (1) (\$ )

## 2. EXTRA CLAIM FEES

|                           | Total Claims         | Extra Claims                 | Fee from below         | Fee Paid               |
|---------------------------|----------------------|------------------------------|------------------------|------------------------|
| Independent Claims        | <input type="text"/> | -20** = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
| Multiple Dependent Claims | <input type="text"/> | -3** = <input type="text"/>  | X <input type="text"/> | = <input type="text"/> |

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|-----|----------------------------|----------------------------|--|
| 103 | 18                         | 203 9                      | Claims in excess of 20                                     |
| 102 | 80                         | 202 40                     | Independent claims in excess of 3                          |
| 104 | 270                        | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 | 80                         | 209 40                     | ** Reissue independent claims over original patent         |
| 110 | 18                         | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

|                                   | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid                 |
|-----------------------------------|----------------------------|----------------------------|--|--------------------------|
| 105                               | 130                        | 205 65                     | Surcharge - late filing fee or oath  | 65.00                    |
| 127                               | 50                         | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     | <input type="text"/>     |
| 139                               | 130                        | 139 130                    | Non-English specification  | <input type="text"/>     |
| 147                               | 2,520                      | 147 2,520                  | For filing a request for <i>ex parte</i> reexamination                     | <input type="text"/>     |
| 112                               | 920*                       | 112 920*                   | Requesting publication of SIR prior to Examiner action                     | <input type="text"/>     |
| 113                               | 1,840*                     | 113 1,840*                 | Requesting publication of SIR after Examiner action                        | <input type="text"/>     |
| 115                               | 110                        | 215 55                     | Extension for reply within first month                                     | <input type="text"/>     |
| 116                               | 390                        | 216 195                    | Extension for reply within second month                                    | <input type="text"/>     |
| 117                               | 890                        | 217 445                    | Extension for reply within third month                                     | <input type="text"/>     |
| 118                               | 1,390                      | 218 695                    | Extension for reply within fourth month                                    | <input type="text"/>     |
| 128                               | 1,890                      | 228 945                    | Extension for reply within fifth month                                     | <input type="text"/>     |
| 119                               | 310                        | 219 155                    | Notice of Appeal   | <input type="text"/>     |
| 120                               | 310                        | 220 155                    | Filing a brief in support of an appeal                                     | <input type="text"/>     |
| 121                               | 270                        | 221 135                    | Request for oral hearing   | <input type="text"/>     |
| 138                               | 1,510                      | 138 1,510                  | Petition to institute a public use proceeding                              | <input type="text"/>     |
| 140                               | 110                        | 240 55                     | Petition to revive - unavoidable   | <input type="text"/>     |
| 141                               | 1,240                      | 241 620                    | Petition to revive - unintentional   | <input type="text"/>     |
| 142                               | 1,240                      | 242 620                    | Utility issue fee (or reissue)   | <input type="text"/>     |
| 143                               | 440                        | 243 220                    | Design issue fee   | <input type="text"/>     |
| 144                               | 600                        | 244 300                    | Plant issue fee  | <input type="text"/>     |
| 122                               | 130                        | 122 130                    | Petitions to the Commissioner  | <input type="text"/>     |
| 123                               | 50                         | 123 50                     | Processing fee under 37 CFR 1.17(q)  | <input type="text"/>     |
| 126                               | 180                        | 126 180                    | Submission of Information Disclosure Stmt                                  | <input type="text"/>     |
| 581                               | 40                         | 581 40                     | Recording each patent assignment and property (times number of properties) | <input type="text"/>     |
| 146                               | 710                        | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              | <input type="text"/>     |
| 149                               | 710                        | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           | <input type="text"/>     |
| 179                               | 710                        | 279 355                    | Request for Continued Examination (RCE)                                    | <input type="text"/>     |
| 169                               | 900                        | 169 900                    | Request for expedited examination of a design application                  | <input type="text"/>     |
| Other fee (specify) _____         |                            |                            |  | <input type="text"/>     |
| *Reduced by Basic Filing Fee Paid |                            |                            |  | SUBTOTAL (3) (\$ ) 65.00 |

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OCT 15 2001

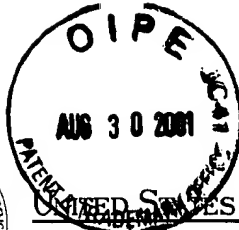
OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

## SUBMITTED BY

|                   |                         |                                   |           |           |              |
|-------------------|-------------------------|-----------------------------------|-----------|-----------|--------------|
| Name (Print/Type) | David W. Nagle, JR.     | Registration No. (Attorney/Agent) | 42,923    | Telephone | 502-587-3400 |
| Signature         | <i>D. W. Nagle, Jr.</i> | Date                              | 8/28/2001 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/875,529         | 06/06/2001          | Gerald E. Janusz      | RF000/000RF-U          |

CONFIRMATION NO. 6949

24350  
STITES & HARBISON, PLLC  
400 W MARKET ST  
SUITE 1800  
LOUISVILLE, KY 40202-3352

## FORMALITIES LETTER



\*C000000006381062\*

Date Mailed: 08/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Gerald E. Janusz*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2001 EABUBAK1 00000025 09875529

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